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601 SW SECOND AVENUE, #1900
PORTLAND, OREGON 97204TEL: 503.425.6800
FAX: 503.425.6801
www.bannerwitcoff.com

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To:	FROM:
Examiner Krisma Lim	Thomas L. Evans
COMPANY:	DATE:
USPTO	October 11, 2005
FAX NUMBER:	TOTAL NO. OF PAGES:
571-273-8300	23
Re:	OUR REFERENCE NO.:
Serial No. 09/783,147	005313.00002
NAME: Lesa Wolman	PHONE: 503-425-6800
COMMENTS:	

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OCT 11 2005

Atty. Docket No.
005313.00002

PATENT**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****In re Patent Application of:**

Roger D. ERICKSON
U.S. Pat. App. No.: 09/783,147
Filed: February 13, 2001

Examiner: Krisma Lim
Group Art Unit: 2135

For: DISTRIBUTED CACHE FOR STATE TRANSFER OPERATIONS**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to his duty of disclosure under 37 C.F.R. §1.56, Applicant brings the documents listed in the attached PTO-1449 form to the attention of the Examiner in the above-identified patent application.

In accordance with the Notice published in the Official Gazette of August 5, 2003, Applicant has not provided copies of the U.S. patents listed in the attached PTO-1449. A copy of the listed non-patent literature, however, is attached hereto.

The listed documents were cited in the Supplementary European Search Report in a corresponding application, August 1, 2005, a copy of which is also attached. The Supplementary European Search Report is in English. Therefore, Applicants respectfully urge that no further comment is necessary in accordance with 37 C.F.R. §1.98(a)(3). Applicant respectfully points out, however, that the Supplementary European Search Report classifies one (1) of the

U.S. Pat. App. No.: 09/783,147
Atty. Docket No.: 005313.00002

documents in category Y, two (2) of the documents in category X, and one (1) of the documents in category A.

A first Official Action issued for this application on October 8, 2004. Accordingly, Applicant is concurrently submitting a Statement Under 37 C.F.R. §1.97(e)(1). It is therefore respectfully urged that no fees are due for the submission and consideration of this Supplemental Information Disclosure Statement. Further, Applicant submits that this Supplemental Information Disclosure Statement is being timely presented in accordance with 37 C.F.R. §1.97(b).

While Applicant is presenting the documents listed in the attached PTO-1449 form, Applicant does not waive any right to take appropriate action to establish patentability over the listed documents should one or more of these documents be applied as a reference against any claim in this application.

Applicant respectfully asks that the documents listed on the attached PTO-1449 form be considered by the Examiner and made officially of record, and that a listing of the same appear on the face of any patent which may issue from this application.

Respectfully submitted,

BANNER & WITCOFF, LTD.

By: Thomas L. Evans
Thomas L. Evans, Reg. No. 35,805
1001 G Street, N.W., 11th Floor
Washington, D.C. 20001-4597
Telephone: (202) 824-3000
Facsimile: (202) 824-3001

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PATENT

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Roger D. ERICKSON

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STATEMENT UNDER 37 C.F.R. §1.97(e)(1)

Commissioner for Patents
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §1.97(e)(1), Applicant hereby states that each item of information contained in the attached Supplemental Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the attached Supplemental Information Disclosure Statement.

Consideration and entry of this Statement are respectfully requested.

Respectfully submitted,

BANNER & WITCOFF, LTD.

By:


Thomas L. Evans, Reg. No. 35,805
1001 G Street, N.W., 11th Floor
Washington, D.C. 20001-4597
Telephone: (202) 824-3000
Facsimile: (202) 824-3001

October 11, 2005

PTO/SB/08a (08-03)

Approved for use through 07/31/2008. OMB 0651-0031
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Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	09/783,147
(Use as many sheets as necessary)		Filing Date	02-13-01
		First Named Inventor	Erickson
		Art Unit	2135
		Examiner Name	Krisma Lim
Sheet	1	of	1
		Attorney Docket Number	05313.00002

U.S. PATENT DOCUMENTS				
Examiner Initials *	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US- 5,583,940	12-10-96	Vidrascu et al.
		US- 5,657,390	08-12-97	Elgamal et al.
		US- 6,070,245	05-30-2000	Murphy, Jr. et al.

FOREIGN PATENT DOCUMENTS				
Examiner Initials *	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
		DAVID A. MCGREW, DACID ORAN, CISCO SYSTEMS ET AL. "The Secure Real Time Protocol." November 2000. Cisco Systems IETF Standard-Working-Draft, Internet Engineering Task Force, CH XPO15032291. ISSN: 000-0004.		
		Supplementary European Search Report in corresponding European Patent Office application, number EP 02 71 4859, mailed August 1, 2005		

Examiner Signature		Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.68. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.